

Problems of Extrinsic Geometry of Foliations

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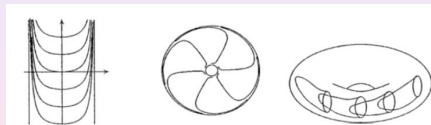
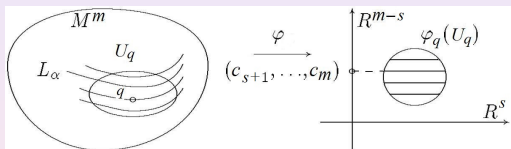
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Lecture 1. Integral Formulas (IF) for Foliations

Foliations are partitions of a manifold M into sets of submanifolds (leaves) of the same dimension. The leaves of a foliation are tangent to the involutive (Frobenius integrable) **distribution**.

Distribution of M is a subbundle of the tangent bundle TM .

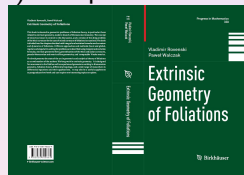
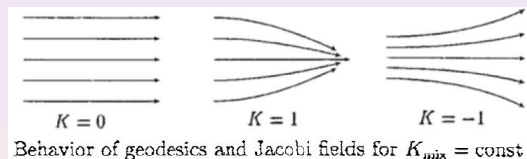


A distribution $\mathcal{D} \subset TM$ (or a foliation) on a Riemannian manifold (M, g) is **totally geodesic** $\Leftrightarrow$ its 2nd fundamental form vanishes, that is, *any geodesic of M that is tangent to $\mathcal{D}$ at one point is tangent to $\mathcal{D}$ at all its points.*

Example. Reeb foliation is not geodesic for any Riemannian metric.

Extrinsic Geometry of Foliations

Extrinsic Geometry (EG) of foliations is a field of Riemannian geometry, which studies the properties expressed by the second fundamental form, as being totally geodesic/umbilical/harmonic. The Riemann tensor (the 2nd derivative of metric) of foliations belongs to the intrinsic geometry, but its part called **mixed** or **mutual curvature** (the 1st derivative of metric) is a part of **EG**.



[1] V. Rovenski, P. Walczak, *Extrinsic geometry of foliations*. Progress in Math. 339, Birkhäuser, 2021

The following tools are important for **EG of foliations**:

- **IF** as obstacles for specifying extrinsic geometric properties,
- **Variation formulas** for extrema of functionals are also used in
- **EG flows of metrics** and their self-similar solutions (solitons).

Almost product manifolds

The **Levi-Civita connection** ∇ is given by

$$2g(\nabla_X Y, Z) = Xg(Y, Z) + Yg(X, Z) - Zg(X, Y) \\ + g([X, Y], Z) - g([X, Z], Y) - g([Y, Z], X).$$

$R_{X,Y} = \nabla_X \nabla_Y - \nabla_Y \nabla_X - \nabla_{[X,Y]}$ – the **curvature tensor** of ∇ ,

$\text{Ric}(X, Y) = \text{Tr}(Z \rightarrow R_{Z,X} Y)$ – the **Ricci tensor**.

$S = \text{Tr Ric} = \sum_i \text{Ric}(e_i, e_i)$ – the **scalar curvature**.

$K(X, Y)$ – the **sectional curvature** of the plane $\sigma = X \wedge Y$.

Let $\mathcal{D}_i$ be $k \geq 2$ mutually orthogonal n_i -dim. non-degenerate distributions on a pseudo- Riemannian manifold $(M, g = \langle \cdot, \cdot \rangle)$. If $\sum n_i = \dim M$, then $(M, g; \mathcal{D}_1, \dots, \mathcal{D}_k)$ is a **Riemannian almost product manifold**. A distribution $\mathcal{D}_i$ is **non-degenerate**, if g_x is a non-degenerate bilinear form on $\mathcal{D}_i(x) \subset T_x M$ for every $x \in M$.

This is applicable to studies of **multiply twisted** and **multiply warped products** as well as the **webs of foliations**.

Extrinsic Geometry of $(M, g; \mathcal{D}_1, \dots, \mathcal{D}_k)$

Let $\top_i : TM \rightarrow \mathcal{D}_i$ and $^\perp_i : TM \rightarrow \mathcal{D}_i^\perp$ be orthoprojectors.

The **second fundamental form** $h_i : \mathcal{D}_i \times \mathcal{D}_i \rightarrow \mathcal{D}_i^\perp$ and **integrability tensor** $T_i : \mathcal{D}_i \times \mathcal{D}_i \rightarrow \mathcal{D}_i^\perp$ of $\mathcal{D}_i$ are defined by

$$h_i(X, Y) = \frac{1}{2} (\nabla_X Y + \nabla_Y X)^\perp_i, \quad T_i(X, Y) = \frac{1}{2} [X, Y]^\perp_i \quad (X, Y \in \mathcal{D}_i).$$

$H_i = \text{Tr}_g h_i$ – the **mean curvature vector field** of $\mathcal{D}_i$.

The **shape operators** $(A_i)_Z$ of $\mathcal{D}_i$ with $Z \in \mathcal{D}_i^\perp$ and the operators $(T_i^\sharp)_Z$ are adjoint to the (1,2)-tensors h_i and T_i :

$$g((A_i)_Z(X), Y) = g(h_i(X, Y), Z), \quad g((T_i^\sharp)_Z(X), Y) = g(T_i(X, Y), Z).$$

$\mathcal{D}_i$ is **totally umbilical**, **harmonic**, or **totally geodesic**, if $h_i = (H_i/n_i)g$, $H_i = 0$, or, $h_i = 0$, respectively.

Similarly, we define $h_i^\perp$, $H_i^\perp = \text{Tr}_g h_i^\perp$, $T_i^\perp$ for $\mathcal{D}_i^\perp$; and h_{ij} , $H_{ij} = \text{Tr}_g h_{ij}$, T_{ij} are defined for $\mathcal{D}_{ij} := \mathcal{D}_i \oplus \mathcal{D}_j$, etc.

We say that $(M, g; \mathcal{D}_1, \dots, \mathcal{D}_k)$ **splits** if all distributions $\mathcal{D}_i$ are integrable, M is locally the direct product $M_1 \times \dots \times M_k$ with canonical foliations tangent to $\mathcal{D}_i$ and $g = g_1 \oplus \dots \oplus g_k$.

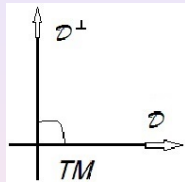
When $(M, g, \mathcal{D}_1, \dots, \mathcal{D}_k)$ is decomposed (or splits locally) into the product of k manifolds? The best known result in this direction is the **Decomposition theorem of de Rham**:

If each $\mathcal{D}_i$ is parallel with respect to the Levi-Civita connection of M , then any point $p \in M$ has a neighborhood U isometric to a product $M_1 \times \dots \times M_k$ of Riemannian manifolds such that the submanifolds, which are parallel to the factor M_i , correspond to integral manifolds of the distribution $\mathcal{D}_i|_U$. In the case that M is simply connected and complete the assertion is true with $U = M$.

The mixed (mutual) scalar curvature of $(\mathcal{D}, \mathcal{D}^\perp)$

The **mixed curvature of orthogonal distributions** $(\mathcal{D}, \mathcal{D}^\perp)$ on a (pseudo-)Riemannian manifold (M, g) is defined as an averaged mixed sectional curvature $K(E_a, \mathcal{E}_b) = \varepsilon_a \varepsilon_b g(R_{E_a, \mathcal{E}_b} \mathcal{E}_b, E_a)$:

$$S_{\mathcal{D}, \mathcal{D}^\perp} = \sum_{E_a \in \mathcal{D}, \mathcal{E}_b \in \mathcal{D}^\perp} K(E_a, \mathcal{E}_b),$$



$E_a \in \mathcal{D}, \mathcal{E}_b \in \mathcal{D}^\perp$ – an adapted orthonormal frame.

If one of distributions is spanned by a unit vector field N , then

$$S_{\mathcal{D}, \mathcal{D}^\perp} = \text{Ric}_{N, N},$$

$\text{Ric}_{N, N} = \sum_{E_b \perp N} K(N, E_b)$ – **Ricci curvature** in the N -direction.

To simplify the presentation, we will sometimes assume that $g > 0$.

The mixed scalar curvature of $(M, g; \mathcal{D}_1, \dots, \mathcal{D}_k)$

Definition 1

Let $TM = \mathcal{D}_1 \oplus \dots \oplus \mathcal{D}_k$, and $\{E_1, \dots, E_n\}$ – a local **adapted** orthonormal frame on M^n such that $\{E_1, \dots, E_{n_1}\} \subset \mathcal{D}_1$, $\{E_{n_{i-1}+1}, \dots, E_{n_i}\} \subset \mathcal{D}_i$ for $2 \leq i \leq k$. The **mixed scalar curvature** of $(M, g; \mathcal{D}_1, \dots, \mathcal{D}_k)$ is $S_{\mathcal{D}_1, \dots, \mathcal{D}_k} = \sum_{i < j} S_{\mathcal{D}_i, \mathcal{D}_j}$.

The mixed scalar curvature is involved in such research topics as 1) **IF** and splitting of foliations, 2) the mixed Einstein–Hilbert action, 3) prescribing curvature of foliations.

Proposition 1

We express the scalar curvature of (M, g) :

$$S = 2S_{\mathcal{D}_1, \dots, \mathcal{D}_k} + \sum_{i=1}^k S_{\mathcal{D}_i},$$

where $S_{\mathcal{D}_i}$ – the scalar curvature of $\mathcal{D}_i$ (function on M) and

$$2S_{\mathcal{D}_1, \dots, \mathcal{D}_k} = \sum_{i=1}^k S_{\mathcal{D}_i, \mathcal{D}_i^\perp}.$$

Multiply twisted/warped products

Definition 2

A **multiply twisted product** $F_0 \times_{u_1} F_1 \times \dots \times_{u_k} F_k$ of Riemannian manifolds $(F_0, g_{F_0}), \dots, (F_k, g_{F_k})$ is the product $M = F_0 \times \dots \times F_k$ with the metric $g = g_{F_0} \oplus u_1^2 g_{F_1} \oplus \dots \oplus u_k^2 g_{F_k}$, where $u_i : F_0 \times F_i \rightarrow (0, \infty)$ for $1 \leq i \leq k$ are smooth functions.

Multiply warped products, i.e., $u_i : F_0 \rightarrow (0, \infty)$, are special cases of multiply twisted products.

For a multiply twisted product: the **fibers**, i.e., the leaves of the F_0 -foliation are totally geodesic; the **leaves** of the F_i -foliation, $i \geq 1$, are totally umbilical with the **mean curvature vectors** $H_i = -n_i P_0 \nabla(\log u_i)$ tangent to fibers.

The mixed scalar curvature in terms of the functions u_i is

$$S_{\mathcal{D}_1, \dots, \mathcal{D}_k} = \sum_{i \geq 1} n_i (\Delta_{F_0} u_i) / u_i.$$

IF for $(M, g; \mathcal{D}, \mathcal{D}^\perp)$

IF are obtained by using the Divergence Theorem to appropriate vector fields, and can be viewed as “conservation laws” of quantities when the metric changes. **IF** for foliations relate **EG** of the leaves and curvature, and also create obstacles to the existence of foliations (or compact leaves) with given geometric properties.

$S_{\mathcal{D}, \mathcal{D}^\perp}$ belongs to **Extrinsic Geometry** of $M(g, \mathcal{D}, \mathcal{D}^\perp)$, since:

$$S_{\mathcal{D}, \mathcal{D}^\perp} = Q_{\mathcal{D}, g} + \operatorname{div}(H + H^\perp), \quad (1)$$

$$Q_{\mathcal{D}, g} = \langle H^\perp, H^\perp \rangle + \langle H, H \rangle - \langle h, h \rangle - \langle h^\perp, h^\perp \rangle + \langle T, T \rangle + \langle T^\perp, T^\perp \rangle. \quad (2)$$

Applying the Divergence Theorem on a closed M , gives the **IF**:

$$\int_M (S_{\mathcal{D}, \mathcal{D}^\perp} - Q_{\mathcal{D}, g}) \, d\operatorname{vol}_g = 0. \quad (3)$$

For codimension-1 foliations (which will be discussed later), (3) is

$$\int_M (\sigma_2(A) - \tfrac{1}{2} \operatorname{Ric}_{N, N}) \, d\operatorname{vol}_g = 0,$$

where $\sigma_2 = \sum_{i < j} k_i k_j$ is the **second elementary symmetric function** of the principal curvatures k_i of the leaves.

The following result with **IF** generalizes (1) and (3) for $k > 2$.

Theorem 3

For $(M, g; \mathcal{D}_1, \dots, \mathcal{D}_k)$ we obtain

$$\operatorname{div} \sum_i (H_i + H_i^\perp) = 2S_{\mathcal{D}_1, \dots, \mathcal{D}_k} - \sum_i Q_{\mathcal{D}_i, g},$$

where $Q_{\mathcal{D}_i, g}$ are given in (2) with $\mathcal{D} = \mathcal{D}_i$.

For a closed manifold M , by the Divergence Theorem, we get **IF**:

$$\int_M (2S_{\mathcal{D}_1, \dots, \mathcal{D}_k} - \sum_i Q_{\mathcal{D}_i, g}) \, d\operatorname{vol}_g = 0. \quad (4)$$

Example: **IF** for n codimension-one foliations

Let (M^n, g) admits n pairwise orthogonal codimension-one foliations $\mathcal{F}_i$, and N_i be unit vector fields orthogonal to $\mathcal{F}_i$. Summing (7) with N_i for $i = 1, \dots, n$, and using the equality $S = \sum_i \text{Ric}_{N_i, N_i}$, we obtain the following **IF**:

$$\int_M \left(2 \sum_i \sigma_2(\mathcal{F}_i) - S \right) d\text{vol}_g = 0. \quad (5)$$

Two immediately consequences of (5):

- if $S < 0$ then each foliation $\mathcal{F}_i$ cannot be totally umbilical;
- if $S > 0$ then each foliation $\mathcal{F}_i$ cannot be harmonic.

Definition 4

A pair $(\mathcal{D}_i, \mathcal{D}_j)$ ($i \neq j$) of distributions on $(M, g; \mathcal{D}_1, \dots, \mathcal{D}_k)$ is

- **mixed totally geodesic**, if $h_{ij}(X, Y) = 0$ ($X \in \mathcal{D}_i, Y \in \mathcal{D}_j$).
- **mixed integrable**, if $T_{ij}(X, Y) = 0$ ($X \in \mathcal{D}_i, Y \in \mathcal{D}_j$).

Theorem 5

$(M, g; \mathcal{D}_1, \dots, \mathcal{D}_k)$ splits if any of the following is valid:

(i) $\mathcal{D}_1, \dots, \mathcal{D}_k$ are integrable harmonic, each pair $(\mathcal{D}_i, \mathcal{D}_j)$ is mixed integrable, and $\underline{S_{\mathcal{D}_1, \dots, \mathcal{D}_k}} \geq 0$.

(ii) $\mathcal{D}_1, \dots, \mathcal{D}_k$ are totally umbilical, each $(\mathcal{D}_i, \mathcal{D}_j)$ is mixed totally geodesic, $\langle H_i, H_j \rangle = 0$ ($i \neq j$), M is closed, $\underline{S_{\mathcal{D}_1, \dots, \mathcal{D}_k}} \leq 0$.

Corollary 6

Let a multiply twisted product (M, g) satisfy $\langle H_i, H_j \rangle = 0$ ($i \neq j$). If M is closed and $\underline{S_{\mathcal{D}_1, \dots, \mathcal{D}_k}} \leq 0$, then (M, g) splits.

Let M be a hypersurface in a Riemannian manifold $\bar{M}(c)$ of constant curvature c . M is called a **Dupin hypersurface** if

- the multiplicity of each principal curvature is constant on M ;
- each principal curvature is constant along its principal directions.

It is known that a **compact Dupin hypersurface M in $\bar{M}(c)$ ($c \geq 0$) has 1, 2, 3, 4 or 6 distinct principal curvatures**, that is $(M, g, \mathcal{D}_1, \dots, \mathcal{D}_k)$ with $k = 2, 3, 4, 6$.

$A : TM \rightarrow TM$ the shape operator, X_i its principal directions on TM , and $AX_i = \mu_i X_i$. The sectional curvature of $M \subset \bar{M}(c)$ is

$$K(X_i, X_j) = c + \mu_i \mu_j \quad (i \neq j).$$

IF for Dupin hypersurfaces with $k = 2, 3$

If M has two distinct principal curvatures $\mu_1 < \mu_2$, then using $S_{\mathcal{D}_1, \mathcal{D}_2} = n_1 n_2 (c + \mu_1 \mu_2)$, **IF** (3) can be rewritten as

$$\int_M \left\{ n_1 n_2 (c + \mu_1 \mu_2) + \frac{n_1 (1 - n_1) \|\nabla \mu_1\|^2 + n_2 (1 - n_2) \|\nabla \mu_2\|^2}{(\mu_2 - \mu_1)^2} \right\} d \text{vol} = 0.$$

If M has three principal curvatures $\mu_1 < \mu_2 < \mu_3$, then using

$$S_{\mathcal{D}_1, \mathcal{D}_2, \mathcal{D}_3} = n_1 n_2 (c + \mu_1 \mu_2) + n_1 n_3 (c + \mu_1 \mu_3) + n_2 n_3 (c + \mu_2 \mu_3).$$

IF (4) with $k = 3$ can be rewritten as

$$\begin{aligned} & \int_M \left\{ \sum_{i < j} n_i n_j (c + \mu_i \mu_j) \right. \\ & \left. + \sum_{i=1}^3 2 n_i (1 - n_i) \left(\frac{\|P_j \nabla \mu_i\|^2 + \|P_l \nabla \mu_i\|^2}{(\mu_i - \mu_j)^2} \right) \right\} d \text{vol}_g = 0, \end{aligned}$$

where $(j, l) \in \{1, 2, 3\} \setminus \{i\}$ and $j < l$.

Integral Formula (IF) for a **codimension-1 foliation** $\mathcal{F}$ with a unit normal N of a closed Riemannian manifold (M^{n+1}, g) means the vanishing of the integral over M , where integrand depends on Weingarten operator A of $\mathcal{F}$ and the curvature tensor R .

$\sigma_i(A)$ ($1 \leq i \leq n$) – symmetric functions of eigenvalues of A .
For few initial values of i , $i = 1, 2$:

$$\int_M \sigma_1(A) d \operatorname{vol}_g = 0, \text{ [G. Reeb, 1950]}, \quad (6)$$

$$\int_M \left(\sigma_2(A) - \frac{1}{2} \operatorname{Ric}_{N,N} \right) d \operatorname{vol}_g = 0, \text{ [T. Nora, 1983]}. \quad (7)$$

The proof of (6), (7) is based on the Divergence Theorem and calculation of $\operatorname{div} N$ and $\operatorname{div}(\sigma_1(A) N + \nabla_N N)$, respectively.

A series of **IF** with symmetric functions σ_i

Define **symmetric functions** $\sigma_r(A)$: $\sum_{r=0}^n \sigma_r(A) t^r = \det(I_n + tA)$, tensor $R(X) : Z \rightarrow (R_{Z,X}N)^\top$ and **Newton transformations** of A : $T_0(A) = I$ and $T_r(A) = \sigma_r(A)I - A T_{r-1}(A)$ ($1 \leq r \leq n$). We get

$$\begin{aligned} \operatorname{div}(T_r(A)Z + \sigma_{r+1}(A)N) &= \sum_{j \leq r} \operatorname{Tr}(T_{r-j}(A)R((-A)^{j-1}Z)) \\ &\quad - (r+2)\sigma_{r+2}(A) + \operatorname{Tr}(T_r(A)R_N). \end{aligned}$$

A series of **IF** with $1 \leq r \leq \dim M - 2$ for closed manifolds:

$$\begin{aligned} &\int_M ((r+2)\sigma_{r+2}(A) - \operatorname{Tr}(T_r(A)R_N) \\ &\quad - \sum_{j=1}^r \operatorname{Tr}(T_{r-j}(A)R((-A)^{j-1}Z))) d\operatorname{vol}_g = 0. \end{aligned} \quad (8)$$

It can be generalized to singular foliations, under suitable integrability conditions.

Example. For $r = 1$, (8) reduces to

$$\int_M (3\sigma_3(A) - \sigma_1(A)\operatorname{Ric}_{N,N} + \operatorname{Tr}(AR_N) - \operatorname{Ric}_{N,Z}) d\operatorname{vol}_g = 0.$$

Application: **IF** for foliated space-forms

- The **IF** (8) might be the main obstructions for recovering metrics by higher mean curvatures of codimension-one foliations.

The total σ_r 's of a codimension-one foliation $\mathcal{F}$ on a compact space form $M^{n+1}(c)$ do not depend on $\mathcal{F}$: they depend on n , r , c and volume of M only,

$$\int_M \sigma_r(A) \, d\text{vol}_g = \begin{cases} c^{r/2} \binom{n/2}{r/2} \text{Vol}(M, g), & n \text{ and } r \text{ even,} \\ 0, & n \text{ or } r \text{ odd.} \end{cases} \quad (9)$$

Example. An amazing consequence of (9) for codimension-1 foliations of the round unit sphere S^3 . By S. Novikov's theorem, any such foliation contains a leaf diffeomorphic to a torus.

By Gauss-Bonnet theorem, there is a point with zero **Gaussian leaf curvature** $K_{\mathcal{F}}$. By (9) for $n = r = 2$ and $c = 1$, the average of $\sigma_2(A)$ is 1. Since $K_{\mathcal{F}} = 1 + \sigma_2(A)$, there is a point with $K_{\mathcal{F}} > 1 + 1 = 2$. Hence, *the set of values of the function $K_{\mathcal{F}} : S^3 \rightarrow \mathbb{R}$ contains the interval $[0, 2 + \varepsilon]$ for some $\varepsilon > 0$.*

Singular locus of a codimension-one foliation

Compact manifolds with $\chi(M) \neq 0$ do not admit regular (codimension-1) distributions, while all of them admit such distributions defined outside some “set of singularities”.

Definition 7 (A very simple notion of singular foliations)

A foliation $\mathcal{F}$ of the complement $M \setminus \Sigma$ of a union Σ of finitely many closed codimension ≥ 2 submanifolds of M is called a **singular foliation** of M ; Σ itself is called the **singular locus** of $\mathcal{F}$.

Given Σ as in Definition 7 on (M, g) , the hypersurfaces Σ_r (with small enough $r > 0$) consisting of all points in distance r from Σ form a singular foliation of a tubular neighborhood $\mathcal{N}$ of Σ .

Improper integrals

Given a submanifold Σ of an oriented manifold M^n and an n -form Ω on $M \setminus \Sigma$, one can define the *improper integral* of Ω by

$$\int_M \Omega = \lim_{U \rightarrow \Sigma} \int_{M \setminus U} \Omega, \quad (10)$$

whenever the limit in (10) exists; here, U ranges over the family of all open neighborhoods of Σ .

Consider tubular neighborhoods $\mathcal{N}_r$ of radius $r > 0$.

Let $f \geq 0$ be a function defined on $M \setminus \Sigma$, M a closed oriented Riemannian manifold and Σ – a closed submanifold of M .

Denote by $\Sigma_r = \partial \mathcal{N}_r$ the tubular surface at distance r from Σ .

Lemma 8

If $\text{codim } \Sigma \geq 2$ and $\liminf_{r \rightarrow 0^+} \int_{\Sigma_r} f \, d\mu > 0$, then $\int_M f^2 \, d\text{vol}_g = \infty$.

Also, let X be a vector field defined on $M \setminus \Sigma$ and $f = \|X\|$.

Lemma 9

If $\text{codim } \Sigma \geq 2$ and $\int_M \|X\|^2 \, d\text{vol}_g < \infty$, then

$$\int_M (\text{div } X) \, d\text{vol}_g = 0.$$

Proof.

Let ν_r be the suitably oriented unit vector field orthogonal to the tubular surface Σ_r . By Stokes's theorem and Lemma 8 applied to $f = \|X\|$, we obtain for $r \rightarrow 0$,

$$\left| \int_{M \setminus \mathcal{N}_r} (\text{div } X) \, d\text{vol}_g \right| = \left| \int_{\Sigma_r} \langle X, \nu_r \rangle \, d\mu \right| \leq \int_{\Sigma_r} \|X\| \, d\mu \longrightarrow 0.$$



We have

$$\operatorname{div} N = -H,$$

and $H = \sigma_1(A)$ is the mean curvature of the leaves.

Since $\|N\| = 1$, it is integrable over M when M is compact, Lemma 9 implies directly the following.

Theorem 10

*If $\mathcal{F}$ is a singular codimension-one foliation on a closed (M, g) and the singular locus Σ of $\mathcal{F}$ is of codimension ≥ 2 , then **IF** holds:*

$$\int_M H \, d\operatorname{vol}_g = 0;$$

thus, either $H \equiv 0$ or $H(x)H(x') < 0$ for some points $x \neq x'$.

If M is closed, then the equality holds:

$$\operatorname{div}(\sigma_1(A) N + \nabla_N N) = \operatorname{Ric}_{N,N} - 2\sigma_2(A). \quad (11)$$

Since $\|\sigma_1(A) N + \nabla_N N\|^2 = \sigma_1^2(A) + \kappa^2$, where $\kappa = \|\nabla_N N\|$ is the curvature of the curves orthogonal to the leaves of $\mathcal{F}$, Lemma 9 and (11) yield the following.

Theorem 11

*If $\mathcal{F}$ is a singular codimension-one foliation on a closed Riemannian manifold (M, g) and the singular locus Σ of $\mathcal{F}$ has codimension ≥ 2 and $\int_M (\sigma_1^2(A) + \kappa^2) \, d\operatorname{vol}_g < \infty$, then the **IF** holds:*

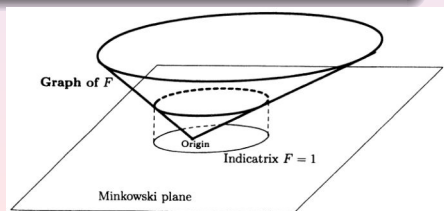
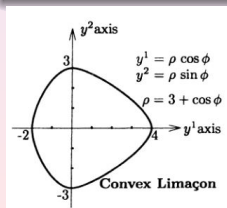
$$\int_M (2\sigma_2(A) - \operatorname{Ric}_{N,N}) \, d\operatorname{vol}_g = 0.$$

Minkowski norm

A Minkowski norm $\Phi : \mathbb{R}^{m+1} \rightarrow [0, \infty)$:

- **Regularity:** $\Phi \in C^\infty(\mathbb{R}^{m+1} \setminus \{0\})$,
- **1-homogeneity:** $\Phi(\lambda y) = \lambda \Phi(y)$ for $\lambda > 0$ and $y \in \mathbb{R}^{m+1}$,
- **Strong convexity:** For any $y \in \mathbb{R}^{m+1} \setminus \{0\}$, the following symmetric bilinear form is positive definite on $\mathbb{R}^{m+1}$:

$$g_y(u, v) = \frac{1}{2} \frac{\partial^2}{\partial s \partial t} [\Phi^2(y + su + tv)]|_{s=t=0}.$$



Definition 12

Given a unit vector field n on a Finsler space (M^{m+1}, Φ) , define a **Riemannian metric** by

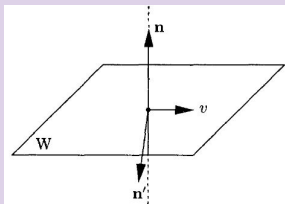
$$g := g_n.$$

∇ – the Levi-Civita connection of g . Set $\nu = n/\Phi(n)$.

$n \in \mathbb{R}^{m+1}$ is a **normal** to a hyperplane $W^m \subset \mathbb{R}^{m+1}$ if

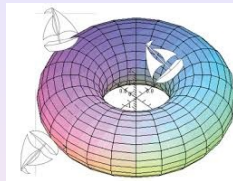
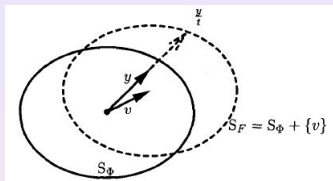
$$g_n(n, w) = 0, \quad w \in W.$$

There are exactly **two** normal directions to W (which are opposite when Φ is **reversible**: $\Phi(-y) = \Phi(y) \quad \forall y \in \mathbb{R}^{m+1}$).



“Computable” example: Randers norm

One may construct Minkowski norms by shifting a Minkowski norm.



E. Zermelo's navigation problem (1931).

Let $a(\cdot, \cdot) = \langle \cdot, \cdot \rangle$ be a scalar product and $\alpha(y) = \|y\|_\alpha = \sqrt{\langle y, y \rangle}$ for $y \in \mathbb{R}^{m+1}$. If β is a linear form on $\mathbb{R}^{m+1}$ with $\|\beta\|_\alpha < 1$ then

$$\Phi(y) = \alpha(y) + \beta(y)$$

is called the **Randers norm** (i.e. shifted Euclidean norm), first introduced by physicist G. Randers in 1941.

$\bar{\nabla}\beta = 0$ – of Berwald type (investigated by L. Berwald).

IF for Randers metric $\Phi = \alpha + \beta$

Given a unit vector field n on $(M, \alpha + \beta)$, for $g = g_n$ we get

$$n = c_1 N - \beta^\sharp, \quad s = \beta(n) = c c_1 - 1, \quad \phi(s) = c c_1,$$

where $c_1 = c + \beta(N)$ and $c = \sqrt{1 - b^2 + \beta(N)^2} \in (0, 1]$.

Set $\bar{Z} = \bar{\nabla}_N N$ and $Z = \nabla_\nu \nu$, where $\nu = n/|n|_g$, $\bar{A}X = -\bar{\nabla}_X N$.

Next, $\mu_g(n) = (c c_1)^{m+2}$ and

$$g(u, v) = (1+s)\langle u, v \rangle - s\langle n, u \rangle \langle n, v \rangle + \beta(u)\langle n, v \rangle + \beta(v)\langle n, u \rangle + \beta(u)\beta(v).$$

Theorem 13

For Randers metric on M and a unit vector field n , we get the IF:

$$\begin{aligned} \int_M (c c_1)^{m+1} c^{-1} \left((c c_1) \operatorname{Tr}(\bar{A}) - \frac{m+2}{2} (N + c_1^{-1} \beta^\sharp)(c c_1) \right. \\ \left. + c N(c) - (c_1 - c) \langle c^{-1} \bar{A}(\beta^{\sharp\top}) + \bar{Z}, \beta^\sharp \rangle \right) d\operatorname{vol}_a = 0, \end{aligned}$$

which reduces to the IF (6) (by Reeb) when $\beta = 0$.