

Simons type formulas for submanifolds with parallel mean curvature in product spaces and applications

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Using Simons inequalities to study minimal, cmc and pmc submanifolds

- ▶ 1968 - J. Simons - a formula for the Laplacian of the second fundamental form of a submanifold in a Riemannian manifold

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- ▶ **1968 - J. Simons** - a formula for the Laplacian of the second fundamental form of a submanifold in a Riemannian manifold
 - for a minimal hypersurface Σ^m in \mathbb{S}^{m+1} this formula is

$$\frac{1}{2}\Delta|A|^2 = |\nabla A|^2 + |A|^2(m - |A|^2) \geq |A|^2(m - |A|^2)$$

where ∇ and A are defined by

$$\bar{\nabla}_X Y = \nabla_X Y + \sigma(X, Y) \quad \text{and} \quad \bar{\nabla}_X V = -A_V X + \nabla_X^\perp V$$

- for a minimal submanifold with arbitrary codimension in \mathbb{S}^n :

Theorem (Simons - 1968)

Let Σ^m be a closed minimal submanifold in \mathbb{S}^n . Then

$$\int_{\Sigma^m} \left(|A|^2 - \frac{m(n-m)}{2n-2m-1} \right) |A|^2 \geq 0.$$

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Corollary

Let Σ^m be a closed minimal submanifold in \mathbb{S}^n with

$$|A|^2 \leq \frac{m(n-m)}{2n-2m-1}.$$

Then, either Σ^m is totally geodesic or $|A|^2 = \frac{m(n-m)}{2n-2m-1}$.

Definition

If the mean curvature vector field $H = \frac{1}{m} \text{trace } \sigma$ of a submanifold Σ^m in a Riemannian manifold is parallel in the normal bundle, i.e. $\nabla^\perp H = 0$, then Σ^m is called a **pmc submanifold**. If $|H| = \text{constant}$, then Σ^m is a **cmc submanifold**.

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- ▶ 1969 - K. Nomizu, B. Smyth; 1973 - B. Smyth - Simons type formula for cmc hypersurfaces and, in general, pmc submanifolds in a space form
- ▶ 1971 - J. Erbacher - Simons type formula for pmc submanifolds in a space form:

$$\begin{aligned} \frac{1}{2} \Delta |A|^2 &= |\nabla^* A|^2 + cm \{ |A|^2 - m |H|^2 \} \\ &+ \sum_{\alpha, \beta=m+1}^{n+1} \{ (\text{trace } A_\beta) (\text{trace } (A_\alpha^2 A_\beta)) \\ &+ \text{trace} [A_\alpha, A_\beta]^2 - (\text{trace } (A_\alpha A_\beta))^2 \}, \end{aligned}$$

- ▶ 1977 - S.-Y. Cheng, S.-T. Yau - a general Simons type equation for operators S , acting on a submanifold of a Riemannian manifold and satisfying $(\nabla_X S)Y = (\nabla_Y S)X$
- ▶ 1970 - S.-S. Chern, M. do Carmo, S. Kobayashi; 1994 - H. Alencar, M. do Carmo - gap theorems for minimal hypersurfaces and cmc hypersurfaces, respectively, in $\mathbb{S}^n(c)$
- ▶ 1994 - W. Santos - a gap theorem for pmc submanifolds in $\mathbb{S}^n(c)$
- ▶ other studies on pmc submanifolds in space forms:
 - 1984, 1993, 2005, 2010, 2011 - H.-W. Xu et al.
 - 2001 - Q. M. Cheng, K. Nonaka
 - 2009 - K. Araújo, K. Tenenblat
- ▶ 2010 - M. Batista - Simons type formulas for cmc surfaces in $M^2(c) \times \mathbb{R}$

A Simons type formula for submanifolds in $M^n(c) \times \mathbb{R}$

Theorem (F., Oniciuc, Rosenberg - 2011)

Let Σ^m be a submanifold of $M^n(c) \times \mathbb{R}$, with mean curvature vector field H and shape operator A . If V is a normal vector field, parallel in the normal bundle, with $\text{trace } A_V = \text{constant}$, then

$$\begin{aligned} \frac{1}{2} \Delta |A_V|^2 &= |\nabla A_V|^2 + c \{ (m - |T|^2) |A_V|^2 - 2m |A_V T|^2 \\ &\quad + 3(\text{trace } A_V) \langle A_V T, T \rangle - m(\text{trace } A_V) \langle H, N \rangle \langle V, N \rangle \\ &\quad + m(\text{trace}(A_N A_V)) \langle V, N \rangle - (\text{trace } A_V)^2 \} \\ &\quad + \sum_{\alpha=m+1}^{n+1} \{ (\text{trace } A_\alpha) (\text{trace}(A_V^2 A_\alpha)) - (\text{trace}(A_V A_\alpha))^2 \}, \end{aligned}$$

where $\{E_\alpha\}_{\alpha=m+1}^{n+1}$ is a local orthonormal frame field in the normal bundle, and T and N are the tangent and normal part, respectively, of the unit vector ξ tangent to \mathbb{R} .

Sketch of the proof.

- ▶ Weitzenböck formula: $\frac{1}{2}\Delta|A_V|^2 = |\nabla A_V|^2 + \langle \text{trace } \nabla^2 A_V, A_V \rangle$

Sketch of the proof.

- ▶ Weitzenböck formula: $\frac{1}{2}\Delta|A_V|^2 = |\nabla A_V|^2 + \langle \text{trace } \nabla^2 A_V, A_V \rangle$
- ▶ $C(X, Y) = (\nabla^2 A_V)(X, Y) = \nabla_X(\nabla_Y A_V) - \nabla_{\nabla_X Y} A_V$
- ▶ consider an orthonormal basis $\{e_i\}_{i=1}^m$ in $T_p \Sigma^m$, $p \in \Sigma^m$, extend e_i to vector fields E_i in a neighborhood of p such that $\{E_i\}$ is a geodesic frame field around p , and denote $X = E_k$

$$(\text{trace } \nabla^2 A_V)X = \sum_{i=1}^m C(E_i, E_i)X.$$

- ▶ Codazzi equation of Σ^m :

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- ▶ Codazzi equation + Ricci formula \Rightarrow

$$\begin{aligned} C(E_i, E_i)X &= \nabla_X((\nabla_{E_i} A_V)E_i) + [R(E_i, X), A_V]E_i \\ &\quad + c\langle A_V E_i, T \rangle (\langle E_i, T \rangle X - \langle X, T \rangle E_i) \\ &\quad - c\langle V, N \rangle (\langle A_N E_i, E_i \rangle X - \langle A_N X, E_i \rangle E_i) \end{aligned}$$

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- ▶ $\nabla_{E_i} A_V$ is symmetric + Codazzi eq. + trace $A_V = \text{constant} \Rightarrow$
 $\sum_{i=1}^m (\nabla_{E_i} A_V)E_i = c(m-1)\langle V, N \rangle T$

▶

$$\begin{aligned} R(X, Y)Z &= c\{\langle Y, Z \rangle X - \langle X, Z \rangle Y - \langle Y, T \rangle \langle Z, T \rangle X + \langle X, T \rangle \langle Z, T \rangle Y \\ &\quad + \langle X, Z \rangle \langle Y, T \rangle T - \langle Y, Z \rangle \langle X, T \rangle T\} \\ &\quad + \sum_{\alpha=m+1}^{n+1} \{\langle A_\alpha Y, Z \rangle A_\alpha X - \langle A_\alpha X, Z \rangle A_\alpha Y\}, \end{aligned}$$

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- ▶ Ricci eq. $\langle R^\perp(X, Y)V, U \rangle = \langle [A_V, A_U]X, Y \rangle + \langle \bar{R}(X, Y)V, U \rangle \Rightarrow$

$$[A_V, A_U] = 0, \forall U \in N\Sigma^m$$

pmc surfaces in $M^3(c) \times \mathbb{R}$

- Let Σ^2 be a non-minimal pmc surface in $M^3(c) \times \mathbb{R}$
- Consider the orthonormal frame field $\{E_3 = \frac{H}{|H|}, E_4\}$ in the normal bundle $\Rightarrow E_4 = \text{parallel}$
- $\phi_3 = A_3 - |H|I$ and $\phi_4 = A_4$
- $\phi(X, Y) = \sigma(X, Y) - \langle X, Y \rangle H = \langle \phi_3 X, Y \rangle E_3 + \langle \phi_4 X, Y \rangle E_4$
- $|\phi|^2 = |\phi_3|^2 + |\phi_4|^2 = |\sigma|^2 - 2|H|^2$

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Proposition (F., Rosenberg - 2011)

If Σ^2 is an immersed pmc surface in $M^n(c) \times \mathbb{R}$, then

$$\frac{1}{2}\Delta|T|^2 = |A_N|^2 - \frac{1}{2}|T|^2|\phi|^2 - 2\langle \phi(T, T), H \rangle + c|T|^2(1 - |T|^2) - |T|^2|H|^2.$$

Theorem (F., Rosenberg - 2011)

Let Σ^2 be an immersed pmc 2-sphere in $M^n(c) \times \mathbb{R}$, such that

1. $|T|^2 = 0$ or $|T|^2 \geq \frac{2}{3}$ and $|\sigma|^2 \leq c(2 - 3|T|^2)$, if $c < 0$;
2. $|T|^2 \leq \frac{2}{3}$ and $|\sigma|^2 \leq c(2 - 3|T|^2)$, if $c > 0$.

Then, Σ^2 is either a minimal surface in a totally umbilical hypersurface of $M^n(c)$ or a standard sphere in $M^3(c)$.

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Proof.

$$\begin{aligned} \blacktriangleright Q(X, Y) &= 2\langle \sigma(X, Y), H \rangle - c\langle X, \xi \rangle \langle Y, \xi \rangle \Rightarrow \\ Q^{(2,0)} &= \text{holomorphic} \end{aligned}$$

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- ▶ $Q(X, Y) = 2\langle \sigma(X, Y), H \rangle - c\langle X, \xi \rangle \langle Y, \xi \rangle \Rightarrow$
 $Q^{(2,0)} = \text{holomorphic}$
- ▶ assume $|T| \neq 0$ on an open dense set, and consider $\{e_1 = T/|T|, e_2\}$
- ▶ Σ^2 is a sphere $\Rightarrow Q^{(2,0)} = 0 \Rightarrow \langle \phi(T, T), H \rangle = \frac{1}{4}c|T|^2 \Rightarrow$
- ▶ $\frac{1}{2}\Delta|T|^2 = |A_N|^2 + \frac{1}{2}|T|^2(-|\sigma|^2 + c(2 - 3|T|^2)) \geq 0$

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- ▶ $\frac{1}{2}\Delta|T|^2 = |A_N|^2 + \frac{1}{2}|T|^2(-|\sigma|^2 + c(2 - 3|T|^2)) \geq 0$
- ▶ $K \geq 0 \Rightarrow \Sigma^2$ is a parabolic space \Rightarrow
 $|T| = \text{constant}, \quad A_N = 0, \quad \nabla_X T = 0 \Rightarrow K = 0$ (contradiction)
 $\Rightarrow T = 0$ (the result then follows from [Yau - 1974])

Proposition (F., Rosenberg - 2011)

If Σ^2 is a non-minimal pmc surface in $M^3(c) \times \mathbb{R}$, then

$$\begin{aligned} \frac{1}{2}\Delta|\phi|^2 = & |\nabla\phi_3|^2 + |\nabla\phi_4|^2 - |\phi|^4 + \{c(2 - 3|T|^2) + 2|H|^2\}|\phi|^2 \\ & - 2c\langle\phi(T, T), H\rangle + 2c|A_N|^2 - 4c\langle H, N\rangle^2. \end{aligned}$$

Theorem

Let Σ^2 be a complete non-minimal pmc surface in $M^3(c) \times \mathbb{R}$, $c > 0$. Assume

- i) $|\phi|^2 \leq 2|H|^2 + 2c - \frac{5c}{2}|T|^2$, and
- ii) a) $|T| = 0$, or
b) $|T|^2 > \frac{2}{3}$ and $|H|^2 \geq \frac{c|T|^2(1-|T|^2)}{3|T|^2-2}$.

Then either

1. $|\phi|^2 = 0$ and Σ^2 is a round sphere in $M^3(c)$, or
2. $|\phi|^2 = 2|H|^2 + 2c$ and Σ^2 is a torus $\mathbb{S}^1(r) \times \mathbb{S}^1(\sqrt{\frac{1}{c} - r^2})$, $r^2 \neq \frac{1}{2c}$, in $M^3(c)$.

Sketch of the proof.

$$\begin{aligned} \frac{1}{2}\Delta(|\phi|^2 - c|T|^2) &= |\nabla\phi_3|^2 + |\nabla\phi_4|^2 \\ &+ \{-|\phi|^2 + \frac{c}{2}(4 - 5|T|^2) + 2|H|^2\}|\phi|^2 \\ &+ c|A_N|^2 - 4c\langle H, N \rangle^2 + c|T|^2|H|^2 \\ &- c^2|T|^2(1 - |T|^2) \end{aligned}$$

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► $|A_N|^2 \geq 2\langle H, N \rangle^2$ and $\langle H, N \rangle^2 \leq (1 - |T|^2)|H|^2$

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- ▶ $|A_N|^2 \geq 2\langle H, N \rangle^2$ and $\langle H, N \rangle^2 \leq (1 - |T|^2)|H|^2$
- ▶ $\frac{1}{2}\Delta(|\phi|^2 - c|T|^2) \geq \{-|\phi|^2 + 2c + 2|H|^2\}|\phi|^2 \geq 0$, if $T = 0$
- ▶ $\frac{1}{2}\Delta(|\phi|^2 - c|T|^2) \geq \{-|\phi|^2 + \frac{c}{2}(4 - 5|T|^2) + 2|H|^2\}|\phi|^2 + c(3|T|^2 - 2)|H|^2 - c^2|T|^2(1 - |T|^2) \geq 0$,

otherwise

Sketch of the proof.

- ▶ $\frac{1}{2}\Delta(|\phi|^2 - c|T|^2) = |\nabla\phi_3|^2 + |\nabla\phi_4|^2$
 $+ \{-|\phi|^2 + \frac{c}{2}(4 - 5|T|^2) + 2|H|^2\}|\phi|^2$
 $+ c|A_N|^2 - 4c\langle H, N \rangle^2 + c|T|^2|H|^2$
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 ≥ 0 ,

otherwise

- ▶ $2K = 2c(1 - |T|^2) + 2|H|^2 - |\phi|^2 \geq \frac{1}{2}c|T|^2 \geq 0$ and
 $|\phi|^2 - c|T|^2$ is bounded and subharmonic \Rightarrow

- $|\phi|^2 - c|T|^2 = \text{constant}$ and $\phi = 0$ or $|\phi|^2 = 2|H|^2 + 2c - \frac{5c}{2}|T|^2$
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- ▶ $\phi = 0 \Rightarrow \Sigma^2$ is pseudo-umbilical $\Rightarrow \Sigma^2$ lies in $M^3(c)$
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- ▶ $A_N = \langle H, N \rangle I$ and $N \parallel H \Rightarrow A_H = |H|^2 I \Rightarrow \Sigma^2$ is
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 $A_N = \langle H, N \rangle I$ and $N = 0$ or $N \parallel H$
- ▶ $N = 0$ + hypothesis $\Rightarrow \Sigma^2$ is minimal (contradiction)
- ▶ $A_N = \langle H, N \rangle I$ and $N \parallel H \Rightarrow A_H = |H|^2 I \Rightarrow \Sigma^2$ is
pseudo-umbilical $\Rightarrow \Sigma^2$ lies in $M^3(c)$
- ▶ in conclusion Σ^2 lies in $M^3(c)$ and the result follows from
[Alencar, do Carmo - 1994; Santos - 1994], using $\nabla\phi = 0$.

Another Simons type formula

Proposition (F., Rosenberg - 2011)

Let Σ^m be a pmc submanifold of $M^n(c) \times \mathbb{R}$, with mean curvature vector field H , shape operator A , and second fundamental form σ . Then we have

$$\begin{aligned} \frac{1}{2}\Delta|\sigma|^2 &= |\nabla^\perp \sigma|^2 + c\{(m - |T|^2)|\sigma|^2 - 2m \sum_{\alpha=m+1}^{n+1} |A_\alpha T|^2 \\ &\quad + 3m\langle \sigma(T, T), H \rangle + m|A_N|^2 - m^2\langle H, N \rangle^2 - m^2|H|^2\} \\ &\quad + \sum_{\alpha, \beta=m+1}^{n+1} \{(\text{trace } A_\beta)(\text{trace}(A_\alpha^2 A_\beta)) + \text{trace}[A_\alpha, A_\beta]^2 \\ &\quad - (\text{trace}(A_\alpha A_\beta))^2\}, \end{aligned}$$

where $\{E_\alpha\}_{\alpha=m+1}^{n+1}$ is a local orthonormal frame field in the normal bundle.

Complete pmc submanifolds in product spaces

Case I. pmc submanifolds with dimension higher than 2

Theorem (F., Rosenberg - 2011)

Let Σ^m be a complete non-minimal pmc submanifold in $M^n(c) \times \mathbb{R}$, $n > m \geq 3$, $c > 0$, with mean curvature vector field H and second fundamental form σ . If the angle between H and ξ is constant and

$$|\sigma|^2 + \frac{2c(2m+1)}{m}|T|^2 \leq 2c + \frac{m^2}{m-1}|H|^2,$$

then Σ^m is a totally umbilical cmc hypersurface in $M^{m+1}(c)$.

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Let Σ^m be a complete non-minimal pmc submanifold in $M^n(c) \times \mathbb{R}$, $n > m \geq 3$, $c < 0$, with mean curvature vector field H and second fundamental form σ . If H is orthogonal to ξ and

$$|\sigma|^2 + \frac{2c(m+1)}{m}|T|^2 \leq 4c + \frac{m^2}{m-1}|H|^2,$$

then Σ^m is a totally umbilical cmc hypersurface in $M^{m+1}(c)$.

Case II. pmc surfaces

Theorem (F., Rosenberg - 2011)

Let Σ^2 be a complete non-minimal pmc surface in $M^n(c) \times \mathbb{R}$, $n > 2$, $c > 0$, such that the angle between H and ξ is constant and

$$|\sigma|^2 + 3c|T|^2 \leq 4|H|^2 + 2c.$$

Then, either

1. Σ^2 is pseudo-umbilical and lies in $M^n(c)$; or
2. Σ^2 is a torus $\mathbb{S}^1(r) \times \mathbb{S}^1\left(\sqrt{\frac{1}{c} - r^2}\right)$ in $M^3(c)$, with $r^2 \neq \frac{1}{2c}$.

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$$|\sigma|^2 + 5c|T|^2 \leq 4|H|^2 + 4c.$$

Then Σ^2 is pseudo-umbilical and lies in $M^n(c)$.

A gap theorem for biharmonic pmc submanifolds in $S^n \times \mathbb{R}$

Definition

A *harmonic map* $\psi : (M, g) \rightarrow (\bar{M}, h)$ between two Riemannian manifolds is a critical point of the *energy functional*

$$E(\psi) = \frac{1}{2} \int_M |d\psi|^2 v_g.$$

The *Euler-Lagrange equation* for the energy functional:

$$\tau(\psi) = \text{trace } \nabla d\psi = 0$$

and τ is called the *tension field*.

Definition

A *biharmonic map* is a critical point of the *bienergy functional*

$$E_2(\psi) = \frac{1}{2} \int_M |\tau(\psi)|^2 v_g.$$

If ψ is a biharmonic non-harmonic map, then it is called a *proper-biharmonic map*.

Theorem (Jiang - 1986)

A map $\psi : (M, g) \rightarrow (\bar{M}, h)$ is biharmonic if and only if

$$\tau_2(\psi) = \Delta\tau(\psi) - \text{trace}\bar{R}(d\psi, \tau(\psi))d\psi = 0$$

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A submanifold of a Riemannian manifold is called a *biharmonic submanifold* if the inclusion map is biharmonic.

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Proposition (F., Oniciuc, Rosenberg - 2011)

If Σ^m is a compact biharmonic submanifold in $\mathbb{S}^n(c) \times \mathbb{R}$, then Σ^m lies in $\mathbb{S}^n(c)$.

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Theorem (Oniciuc - 2003)

A proper-biharmonic cmc submanifold Σ^m in $\mathbb{S}^n(c)$, with mean curvature equal to \sqrt{c} , is minimal in a small hypersphere $\mathbb{S}^{n-1}(2c) \subset \mathbb{S}^n(c)$.

Theorem (Balmuş, Oniciuc - 2010)

If Σ^m is a proper-biharmonic pmc submanifold in $\mathbb{S}^n(c)$, with mean curvature vector field H and $m > 2$, then $|H| \in (0, \frac{m-2}{m}\sqrt{c}] \cup \{\sqrt{c}\}$. Moreover, $|H| = \frac{m-2}{m}\sqrt{c}$ if and only if Σ^m is (an open part of) a standard product

$$\Sigma_1^{m-1} \times \mathbb{S}^1(2c) \subset \mathbb{S}^n(c),$$

where Σ_1^{m-1} is a minimal submanifold in $\mathbb{S}^{n-2}(2c)$.

Theorem (Balmuş, Montaldo, Oniciuc - 2011)

A submanifold Σ^m in a Riemannian manifold \bar{M} is biharmonic iff

$$\begin{cases} -\Delta^\perp H + \text{trace } \sigma(\cdot, A_H \cdot) + \text{trace}(\bar{R}(\cdot, H)\cdot)^\perp = 0 \\ \frac{m}{2} \text{grad } |H|^2 + 2 \text{trace } A_{\nabla^\perp H}(\cdot) + 2 \text{trace}(\bar{R}(\cdot, H)\cdot)^\top = 0, \end{cases}$$

where Δ^\perp is the Laplacian in the normal bundle and \bar{R} is the curvature tensor of \bar{M} .

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Corollary

A pmc submanifold Σ^m in $M^n(c) \times \mathbb{R}$, with $m \geq 2$, is biharmonic iff

$$\begin{cases} H \perp \xi, & |A_H|^2 = c(m - |T|^2)|H|^2 \\ \text{trace}(A_H A_U) = 0 & \text{for any normal vector } U \perp H. \end{cases}$$

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Remark

There are no proper-biharmonic pmc submanifolds in $M^n(c) \times \mathbb{R}$ with $c \leq 0$.

Definition

A submanifold Σ^m of $M^n(c) \times \mathbb{R}$ is called a **vertical cylinder** over Σ^{m-1} if $\Sigma^m = \pi^{-1}(\Sigma^{m-1})$, where $\pi : M^n(c) \times \mathbb{R} \rightarrow M^n(c)$ is the projection map and Σ^{m-1} is a submanifold of $M^n(c)$.

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Proposition (F., Oniciuc, Rosenberg - 2011)

Let Σ^m , $m \geq 2$, be a proper-biharmonic pmc submanifold in $S^n(c) \times \mathbb{R}$. Then σ satisfies $|\sigma|^2 \geq c(m-1)$, and the equality holds if and only if Σ^m is a vertical cylinder $\pi^{-1}(\Sigma^{m-1})$ in $S^m(c) \times \mathbb{R}$, where Σ^{m-1} is a proper biharmonic cmc hypersurface in $S^m(c)$.

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Proposition (F., Oniciuc, Rosenberg - 2011)

Let Σ^m , $m \geq 2$, be a proper-biharmonic pmc submanifold in $\mathbb{S}^n(c) \times \mathbb{R}$. Then $|H|^2 \leq c$, and the equality holds if and only if Σ^m is minimal in a small hypersphere $\mathbb{S}^{n-1}(2c) \subset \mathbb{S}^n(c)$.

Theorem (F., Oniciuc, Rosenberg - 2011)

Let Σ^m be a complete proper-biharmonic pmc submanifold in $\mathbb{S}^n \times \mathbb{R}$, with $m \geq 2$, such that its mean curvature satisfies

$$|H|^2 > C(m) = \frac{(m-1)(m^2+4) + (m-2)\sqrt{(m-1)(m-2)(m^2+m+2)}}{2m^3}$$

and the norm of its second fundamental form σ is bounded.
Then $m < n$, $|H| = 1$ and Σ^m is a minimal submanifold of a small hypersphere $\mathbb{S}^{n-1}(2) \subset \mathbb{S}^n$.

Sketch of the proof.

$$\blacktriangleright \langle H, \xi \rangle = 0 \quad \Rightarrow \quad 0 = \langle \bar{\nabla}_X H, \xi \rangle = -\langle A_H T, X \rangle \quad \Rightarrow \quad A_H T = 0$$

Sketch of the proof.

- ▶ $\langle H, \xi \rangle = 0 \Rightarrow 0 = \langle \bar{\nabla}_X H, \xi \rangle = -\langle A_H T, X \rangle \Rightarrow A_H T = 0$
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▶ $-m^2 |H|^4 (1 - |H|^2)$

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- ▶ $\frac{1}{2} \Delta |\phi_H|^2 \geq m |\phi_H|^2 \left(-\frac{m-2}{\sqrt{m(m-1)}} |\phi_H| + 2 |H|^2 - |T|^2 \right)$

$$\frac{1}{2}\Delta|\phi_H|^2 \geq \frac{P(|T|^2)}{\sqrt{m-1}|H|((m-2)\sqrt{1-|H|^2}+2\sqrt{m-1}|H|)}|\phi_H|^2$$

▶
$$\geq \frac{P(1)}{\sqrt{m-1}|H|((m-2)\sqrt{1-|H|^2}+2\sqrt{m-1}|H|)}|\phi_H|^2$$

$$\geq 0$$

$$P(t) = m(m-1)t^2 - (3m^2 - 4)|H|^2t + m|H|^2(m^2|H|^2 - (m-2)^2)$$

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$$P(t) = m(m-1)t^2 - (3m^2 - 4)|H|^2t + m|H|^2(m^2|H|^2 - (m-2)^2)$$

▶ $\text{Ric}X \geq -m|A_H| - |\sigma|^2$

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► Theorem (Omori-Yau Maximum Principle)

If Σ^m is a complete Riemannian manifold with Ricci curvature bounded from below, then for any smooth function $u \in C^2(\Sigma^m)$ with $\sup_{\Sigma^m} u < +\infty$ there exists a sequence of points $\{p_k\}_{k \in \mathbb{N}} \subset \Sigma^m$ satisfying

$$\lim_{k \rightarrow \infty} u(p_k) = \sup_{\Sigma^m} u, \quad |\nabla u|(p_k) < \frac{1}{k} \quad \text{and} \quad \Delta u(p_k) < \frac{1}{k}.$$

- ▶ $\begin{cases} \phi_H = 0 (\Sigma^m = \text{pseudo-umbilical}) \\ A_H T = 0 \end{cases} \Rightarrow T = 0 (\Sigma^m \text{ lies in } \mathbb{S}^n)$
- ▶ $|H|^2 > C(m) > (\frac{m-1}{m})^2 > (\frac{m-2}{m})^2$

- ▶ $\begin{cases} \phi_H = 0 (\Sigma^m = \text{pseudo-umbilical}) \\ A_H T = 0 \end{cases} \Rightarrow T = 0 (\Sigma^m \text{ lies in } \mathbb{S}^n)$
- ▶ $|H|^2 > C(m) > (\frac{m-1}{m})^2 > (\frac{m-2}{m})^2$
- ▶ $|H| = 1$ and Σ^m is a minimal submanifold of a small hypersphere $\mathbb{S}^{n-1}(2) \subset \mathbb{S}^n$

Biharmonic pmc surfaces in $\mathbb{S}^n(c) \times \mathbb{R}$

Lemma (F., Oniciuc, Rosenberg - 2011)

A pmc surface Σ^2 in $\mathbb{S}^n(c) \times \mathbb{R}$ is proper-biharmonic iff either

- 1. Σ^2 is pseudo-umbilical and, therefore, it is a minimal surface of a small hypersphere $\mathbb{S}^{n-1}(2c) \subset \mathbb{S}^n(c)$; or*
- 2. the mean curvature vector field H is orthogonal to ξ , $|A_H|^2 = c(2 - |T|^2)|H|^2$, and $A_U = 0$ for any normal vector field U orthogonal to H .*

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Corollary

If Σ^2 is a proper-biharmonic pmc surface in $\mathbb{S}^n(c) \times \mathbb{R}$ then the tangent part T of ξ has constant length.

Proof.

- ▶ the map $p \in \Sigma^2 \rightarrow (A_H - \mu I)(p)$, where μ is a constant, is analytic, and, therefore, either
 - ▶ Σ^2 is a pseudo-umbilical surface (at every point), or
 - ▶ $H(p)$ is an umbilical direction on a closed set without interior points
- ▶ $\Sigma^2 \neq$ pseudo-umbilical + $[A_H, A_U] = 0 \Rightarrow$
at $p \in \Sigma^2 \exists \{e_1, e_2\}$ - orthonormal basis that diagonalizes A_H and $A_U, \forall U \perp H$
- ▶ $H \perp U \Rightarrow \text{trace} A_U = 2\langle H, U \rangle = 0$
- ▶ $A_H = \begin{pmatrix} a + |H|^2 & 0 \\ 0 & -a + |H|^2 \end{pmatrix}$ and $A_U = \begin{pmatrix} b & 0 \\ 0 & -b \end{pmatrix}$

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at $p \in \Sigma^2 \exists \{e_1, e_2\}$ - orthonormal basis that diagonalizes A_H and A_U , $\forall U \perp H$
- ▶ $H \perp U \Rightarrow \text{trace } A_U = 2\langle H, U \rangle = 0$
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- ▶ $\begin{cases} 0 = \text{trace}(A_H A_U) = 2ab \\ a \neq 0 \end{cases} \Rightarrow b = 0, \text{ i.e. } A_U = 0$

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- ▶ $\begin{cases} 0 = \text{trace}(A_H A_U) = 2ab \\ a \neq 0 \end{cases} \Rightarrow b = 0$, i.e. $A_U = 0$
- ▶ (Corollary) $H \perp N \Rightarrow \nabla_X T = A_N X = 0 \Rightarrow X(|T|^2) = 0$

Proposition (F., Rosenberg - 2010)

If Σ^2 is a pmc surface in $M^n(c) \times \mathbb{R}$, then

$$\frac{1}{2}\Delta|T|^2 = |A_N|^2 + K|T|^2 + 2T(\langle H, N \rangle),$$

where K is the Gaussian curvature of the surface.

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Corollary

If Σ^2 is a non-pseudo-umbilical proper-biharmonic pmc surface in $S^n(c) \times \mathbb{R}$, then it is flat.

Theorem (F., Oniciuc, Rosenberg - 2011)

Let Σ^2 be a proper-biharmonic pmc surface in $\mathbb{S}^n(c) \times \mathbb{R}$. Then either

- 1. Σ^2 is a minimal surface of a small hypersphere $\mathbb{S}^{n-1}(2c) \subset \mathbb{S}^n(c)$; or*
- 2. Σ^2 is (an open part of) a vertical cylinder $\pi^{-1}(\gamma)$, where γ is a circle in $\mathbb{S}^2(c)$ with curvature equal to \sqrt{c} , i.e. γ is a biharmonic circle in $\mathbb{S}^2(c)$.*

Sketch of the proof.

- ▶ assume $\Sigma^2 \neq$ pseudo-umbilical $\Rightarrow |T| = \text{constant} \neq 0$, i.e.
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Sketch of the proof.

- ▶ assume $\Sigma^2 \neq$ pseudo-umbilical $\Rightarrow |T| = \text{constant} \neq 0$, i.e. $|N| = \text{constant} \in [0, 1)$
- ▶ $A_U = 0, \forall U \perp H \Rightarrow \dim L = \dim \text{span}\{\text{Im } \sigma, N\} \leq 2 \Rightarrow$
 - $T\Sigma^2 \oplus L$ is parallel, invariant by \bar{R} , and $\xi \in T\Sigma^2 \oplus L \Rightarrow$
 - Σ^2 lies in
 - ▶ $\mathbb{S}^2(c) \times \mathbb{R}$ (if $N = 0$), or
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Sketch of the proof.

- ▶ assume $\Sigma^2 \neq$ pseudo-umbilical $\Rightarrow |T| = \text{constant} \neq 0$, i.e. $|N| = \text{constant} \in [0, 1)$
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- ▶ $|N| > 0 \Rightarrow \{E_3 = \frac{H}{|H|}, E_4 = \frac{N}{|N|}\}$ global orthonormal frame field $\Rightarrow |\sigma|^2 = |A_3|^2 = c(2 - |T|^2)$
- ▶ $0 = 2K = 2c(1 - |T|^2) + 4|H|^2 - |\sigma|^2 \Rightarrow 4|H|^2 = c|T|^2$

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- ▶ $|A_H|^2 = c(2 - |T|^2)|H|^2 = \text{constant} \Rightarrow N = 0 \Rightarrow \Sigma^2 = \pi^{-1}(\gamma)$, where γ is a proper-biharmonic pmc curve with curvature $\kappa = 2|H| = \sqrt{c}$

Remark

$\nabla A_H = 0$ for all proper-biharmonic surfaces in $\mathbb{S}^n(c) \times \mathbb{R}$.

Theorem (F., Oniciuc, Rosenberg - 2011)

If Σ^m , with $m \geq 3$, is a proper-biharmonic pmc submanifold in $\mathbb{S}^n(c) \times \mathbb{R}$ such that $\nabla A_H = 0$, then either

1. Σ^m is a proper-biharmonic pmc submanifold in $\mathbb{S}^n(c)$, with $\nabla A_H = 0$; or
2. Σ^m is (an open part of) a vertical cylinder $\pi^{-1}(\Sigma^{m-1})$, where Σ^{m-1} is a proper-biharmonic pmc submanifold in $\mathbb{S}^n(c)$ such that the shape operator corresponding to its mean curvature vector field in $\mathbb{S}^n(c)$ is parallel.